



**PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

**Andrea J. Argue, CFP®**

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August 30, 2021

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This Brochure Supplement provides information about Andrea J. Argue (CRD# 4853008) that supplements the Great Valley Advisor Group, Inc. ("GVA") Brochure. You should have received a copy of that Brochure. Please contact the GVA office at 302-483-7200 if you did not receive GVA's Brochure or if you have any questions about the contents of this supplement.

Additional information regarding Andrea J. Argue pertaining to any disciplinary actions or outside business activities, if applicable, is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) or the FINRA Broker Check website, [www.finra.org/brokercheck](http://www.finra.org/brokercheck).

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**DOB:** August 11, 1982

**Education:** University of Wisconsin La Crosse - Finance – Graduated 2004

- Series 7- General Securities Representative Exam, 10/11/2004
- SIE – Securities Industry Essentials Exam, 10/01/2018
- Series 63 - Uniform Securities Agent State Law exam, 11/11/2004

### **CERTIFIED FINANCIAL PLANNER™ (“CFP®”)**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP® (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. (“CFP® Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold the CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- **Education** – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP® Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- **Experience** – Complete at least three years of full-time financial planning-related experience under the supervision of a CFP® professional (or the equivalent, measured as 2,000 hours per year); and
- **Ethics** – Agree to be bound by CFP® Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP® Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

**Business Experience:**

**Kave Family Financial**

Financial Advisor  
07/2004 - Present

**Great Valley Advisor Group**, Investment Advisory Services, Asset Management and Financial Planning  
Investment Adviser Representative  
08/2021 - Present

**LPL Financial**

Financial Advisor  
06/2016 - Present

**Householder Group Estate & Retirement Specialists**

Registered Representative  
06/2016 – 08/2021

**ITEM 3 – DISCIPLINARY INFORMATION**

We must disclose any legal or disciplinary event that would be material to you when evaluating Mrs. Argue. We have no such legal or disciplinary history to report to you.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mrs. Argue is a registered representative of LPL Financial ("LPL"), a full service broker-dealer, member FINRA/SIPC, which compensates him for effecting securities transactions.

As a broker-dealer, LPL engages in a broad range of activities normally associated with securities brokerage firms. Pursuant to the investment advice given by Mrs. Argue investments in securities may be recommended for you. If LPL is selected as the broker-dealer, it may effect transactions in securities for you, a client of GVA and Mrs. Argue. By serving as the broker-dealer, LPL and Mrs. Argue may receive commissions for executing securities transactions. When Mrs. Argue receives commissions in connection with the advice given to advisory clients, GVA may reduce a portion of its fees by the amount of the commissions earned by Mrs. Argue.

You are advised that if LPL is selected as the broker-dealer, the transaction charges may be higher or lower than the charges you may pay if the transactions were executed at other broker-dealers. You should note, however, that you are under no obligation to purchase securities through Mrs. Argue, GVA or LPL.

Mrs. Argue may in her capacity as registered representative of LPL, or as agent appointed with various life, disability or other insurance companies, receives commissions, 12(b) -1 fees, trails, or other compensation from the respective product sponsors and/or as a result of effecting securities transactions for you. As previously noted, when commissions or fees are received by Mrs. Argue in connection with the advice given to you, she may, but is not obligated to, reduce its fee proportionate to the amount of the commission or fee earned by him.

Mrs. Argue holds an insurance license to sell limited insurance products. It is anticipated that 10% of her time will be spent providing these insurance products. she may receive compensation from selling insurance products and therefore receive economic benefit for this activity.

## ITEM 5 - ADDITIONAL COMPENSATION

The above business associations do not provide any additional compensation other than as described in the GVAG's Form ADV 2A.

## ITEM 6 - SUPERVISION

Mrs. Andrea J. Argue is supervised through a compliance program designed to prevent and detect violations of the federal and state securities laws. Supervision is conducted by the Chief Compliance Officer, R y a n C . T o d d who is responsible for administering the policies and procedures. Mr. Todd reviews those policies and procedures annually for their adequacy and the effectiveness of their implementation. All policies and procedures of the firm are followed.

### **Ryan Todd's contact information:**

Ryan C. Todd  
Chief Compliance Officer  
(302) 483-7200  
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